

OPIC
OFFICE DE LA PROPRIÉTÉ
INTELLECTUELLE DU CANADA



CIPO
CANADIAN INTELLECTUAL
PROPERTY OFFICE

Ottawa Hull K1A 0C9

(21) (A1)	2,145,474
(22)	1995/03/24
(43)	1995/10/01

(51) Int.Cl. ⁶ A61K 7/075; A61K 7/50

(19) (CA) APPLICATION FOR CANADIAN PATENT (12)

(54) Shampoo Composition

**(72) Birtwistle, David H. - Thailand ;
Mavropoulou, Maria - France ;**

(71) Unilever PLC - U.K. ;

(30) (GB) 9406555.4 1994/03/31

(57) 11 Claims

Notice: This application is as filed and may therefore contain an incomplete specification.



Industrie Canada Industry Canada

Canada

2145474

ABSTRACT

SHAMPOO COMPOSITION

5 A conditioning shampoo composition for hair and/or skin comprises a stable microemulsion of a high viscosity silicone with a particle size of <0.15 microns, in combination with a deposition polymer and a surfactant.

- 1 -

SHAMPOO COMPOSITION

This invention relates to shampoo compositions, particularly
5 to hair shampoo compositions which include a silicone
microemulsion and a deposition polymer.

The use of silicones in hair shampoos is well known.
Generally, dispersed droplets of the silicone oil are
10 suspended in the composition, which is then applied to the
hair to deposit the silicone material on the hair shaft.

Hitherto, steps have had to be taken to prevent the
15 emulsified droplets of silicone oil from agglomerating and
the composition creaming during storage. Such steps have
for example included the addition of polymers such as
Carbopol or certain gums, and/or crystalline materials, e.g.
ethylene glycol distearate, to act as suspending agents, but
the use of such materials renders the resulting compositions
20 cloudy or opaque. Visually and aesthetically such products
are inferior.

The presence of such suspending agents in hair treatment
compositions, however, is also disadvantageous because they
25 lead to dulling of the hair, as well as lowering of other
conditioning attributes, as a result of the suspending agent
being deposited on the hair in addition to the intended
silicone conditioning oil.

30 It is known in the art that oily cosmetic agents such as
silicones can be incorporated into cosmetic compositions by
means of microemulsification, whereby the silicone is
present as stably emulsified droplets of a particle size of
the order of 0.15 microns or less.

35

- 2 -

For example, US 4733677 discloses leave-on hair fixatives containing cationic organic polymer and polydiorganosiloxane microemulsion. EP-A-268982 describes dimethylpolysiloxane microemulsions for various cosmetic uses, the 5 microemulsified dimethylpolysiloxane being formed by emulsion polymerization and with a particle size of 0.15 microns or less.

However, by the very nature of the form in which 10 microemulsified particles of a conditioning oil are incorporated into cosmetic compositions, the conditioning benefits attainable are frequently limited, owing to a poor level of deposition on the intended site, ie. the hair or the skin.

15 In our EP A 0529883 there is disclosed a hair shampoo comprising a silicone microemulsion in combination with a cationic deposition polymer. The viscosity of the silicone microemulsion used is 15 000 centistokes. This shampoo gives satisfactory deposition of the microemulsion onto hair, but the conditioning benefit is not sufficient for many people.

25 In US 2 826 551, it is stated that whilst the viscosity of the polyorganosiloxane employed is not very critical, higher viscosity fluids are more effective in preventing snarling of the hair. This patent does not mention use of a cationic deposition polymer and is not concerned with the problem of getting good deposition and good conditioning from a 30 silicone microemulsion shampoo system.

It has now been found that shampoo compositions which have 35 good mechanical stability, high optical transparency or translucency, and excellent conditioning ability can be obtained by utilising a high viscosity microemulsified

- 3 -

silicone oil in combination with a cationic deposition polymer.

According to the present invention there is provided a
5 shampoo composition comprising:

- (a) from 2-35% of at least one surfactant;
- (b) 0.01-10% of a microemulsion of particles of a high viscosity silicone having a particle size of <0.15 microns the emulsion comprising water, emulsifier and the particles;
- (c) 0.01-10% of a cationic deposition polymer.

15

As used herein, the term high viscosity means in excess of 30 000 centistokes. The viscosity preferably exceeds 50 000 centistokes. The viscosity being measured is the viscosity of the silicone itself and not that of the emulsion or the 20 final shampoo composition. The viscosity is measured in the conventional manner using a rotary viscometer.

25

Preferred silicones for use in the present invention include non-volatile silicones, siloxane gums and resins, aminofunctional silicones, quaternary silicones, and mixtures thereof with each other and with volatile silicones. Examples of suitable silicone polymers for use in the present invention include those disclosed in EP-A-228575.

30

Various methods of making microemulsions of particles of silicones for use in the invention are available and are well known and documented in the art.

- 4 -

One particularly preferred technique for making silicone microemulsions is that described in EP-A-228575 referred to above.

5 In that document there is described a method of making a stable microemulsion of high molecular weight silicone polymer and water by sequentially adding at an effective rate a standard emulsion comprising polydiorganosiloxane precursor, surfactant and water to a polymerization catalyst medium while mixing to form a clear, stable aqueous
10 microemulsion of polydiorganosiloxane.

Another method of making suitable microemulsions for use in the invention are described in EP-A-0 138 192.

15 The silicone may, for example, be a liquid at ambient temperatures, so as to be of a suitable viscosity to enable the material itself to be readily emulsified with the required particle size of 0.15 microns or less. However,
20 high viscosity or even solid materials may be appropriate for use in the invention, and indeed may be preferred where in-situ polymerisation is used to prepare the microemulsified particles, as mentioned above.

25 Alternatively, such high viscosity or solid materials may be suitable for use directly if dissolved in a water immiscible solvent. For example, in the case of a silicone which is a highly viscous silicone resin or siloxane gum, a suitable solvent is a volatile silicone or a volatile hydrocarbon. Examples of all these materials are well known to the person
30 skilled in the art.

The amount of silicone incorporated into the compositions of the invention depends on the type of composition and the material used. A preferred amount is from 0.01 to about 10% by weight although these limits are not absolute. The lower
35

- 5 -

limit is determined by the minimum level to achieve conditioning and the upper limit by the maximum level to avoid making the hair and/or skin unacceptably greasy.

5 The microemulsion of the silicone is stabilised by a suitable amount of one or more emulsifiers, preferably chosen from anionic, cationic, nonionic, amphoteric and zwitterionic surfactants, and mixtures thereof. The amount of emulsifier will typically be in the ratio of 1:1 to 1:7 parts by weight of the silicone, although larger amounts of emulsifier can be used, eg. 5:1 parts by weight of the silicone or more.

10 Suitable anionic surfactants are the alkyl sulphates, alkyl ether sulphates, alkaryl sulphonates, alkyl succinates, alkyl sulhosuccinates, acyl taurates, acyl glutamates, N-alkoyl sarcosinates, alkyl phosphates, alkyl ether phosphates, alkyl ether carboxylates, and alpha-olefin sulphonates, especially their sodium, potassium, magnesium, ammonium and mono-, di- and triethanolamine salts. The alkyl and acyl groups generally contain from 8 to 18 carbon atoms and may be unsaturated. The alkyl ether sulphates, alkyl ether phosphates and alkyl ether carboxylates may contain from one to 10 ethylene oxide or propylene oxide units per molecule, and preferably contain 2 to 3 ethylene oxide units per molecule.

15 Examples of suitable anionic surfactants include sodium oleyl succinate, ammonium lauryl sulhosuccinate, ammonium lauryl sulphate, sodium dodecylbenzene sulphonate, triethanolamine and sodium salts of dodecylbenzene sulphonate and sodium N-lauryl sarcosinate. The most preferred anionic surfactants are sodium lauryl ether sulphate 1EO, 2EO, and 3EO, ammonium lauryl sulphate, ammonium lauryl ether sulphate 1EO, 2EO and 3EO, and

- 6 -

triethanolamine and sodium salts of dodecylbenzene sulphonate. Sodium lauryl ether sulphate 3EO is preferred as it gives a particularly clear and stable shampoo when used with high viscosity microemulsions.

5

Suitable cationic surfactants may include quaternary ammonium hydroxides, e.g. tetramethylammonium hydroxide, octyltrimethylammonium hydroxide, dodecytrimethylammonium hydroxide, hexadecyltrimethylammonium hydroxide, ocyldimethylbenzylammonium hydroxide, decyldimethylbenzylammonium hydroxide, didodecyldimethylammonium hydroxide, dioctadecyl dimethylammonium hydroxide, tallow trimethylammonium hydroxide, cocotrimethylammonium hydroxide, and the corresponding salts thereof.

10

Suitable nonionic surfactants may include condensation products of aliphatic (C₆-C₁₈) primary or secondary linear or branched chain alcohols or phenols with alkylene oxides, usually ethylene oxide and generally having from 6 to 30 ethylene oxide groups.

15

Other suitable nonionics include alkylpolyglycosides and mono- or di-alkyl alkanolamides. Examples of the latter nonionics include coco mono- or di-ethanolamide and coco mono-isopropanolamide.

20

Suitable amphoteric and zwitterionic surfactants may include alkyl amine oxides, alkyl betaines, alkyl amidopropyl betaines, alkyl sulphobetaines (sultaines), alkyl glycinate, alkyl carboxyglycinate, alkyl amphopropionate, alkylamphoglycinate, alkyl amidopropyl and hydroxysultaines, wherein the alkyl and acyl groups have 8 to 19 carbon atoms. Examples include lauryl amine oxide, cocodimethyl sulphopropyl betaine and preferably lauryl

25

30

- 7 -

betaine, cocamidopropyl betaine and sodium cocamphopropionate.

A preferred cosmetic composition in accordance with the
5 invention is a shampoo composition which, in addition to the silicone microemulsion comprises further surfactant to provide a deterging benefit. The composition preferably comprises from about 2 to about 35% by weight in total of surfactant. The deterging surfactant is selected from 10 anionic, cationic, nonionic, and amphoteric and zwitterionic surfactants, and mixtures thereof, examples of which are given above. The deterging surfactant may be the same surfactant as the emulsifier.

15 In accordance with the invention, the cosmetic composition contains one or more cationic deposition polymers. Suitable deposition polymers are any which enhance deposition of the conditioning oil on the intended site, e.g., the hair or the scalp. If the composition is to be an optically clear mild 20 shampoo a preferred deposition polymer is a cationic cellulose ether derivative because this gives good clarity and adequate flocculation on dilution with water during use, provided sufficient electrolyte is added to the formulation. Suitable electrolytes include sodium chloride and sodium 25 benzoate.

Suitable cationic cellulose ether derivatives are quaternary ammonium derivatives of cellulose ethers, for example the Polymer JR series of materials available from Union Carbide 30 or the Celquat materials, such as Celquat SC 240C from National Starch. Both materials have the CTFA designation POLYQUATERNIUM 10.

For other types of shampoo cationic guar gum derivatives may 35 be used. These materials are less suitable for mild shampoo

- 8 -

formulations because the guar gum derivative is incompatible with the microemulsion, resulting in poor clarity. Suitable cationic guar gum derivatives are those given the CTFA designation guar hydroxypropyl trimonium chloride, available commercially for example as JAGUAR C13S, which has a low degree of substitution of the cationic groups and high viscosity. Other suitable materials include that known as JAGUAR C15, having a moderate degree of substitution and a low viscosity, JAGUAR C17 (high degree of substitution, high viscosity) and JAGUAR C16 which is a hydroxypropylated cationic guar derivative containing a low level of substituent groups as well as cationic quaternary ammonium groups. Also suitable is JAGUAR 162 which is a high transparency, medium viscosity guar having a low degree of substitution.

The deposition polymer may be present in an amount of from about 0.01 to about 10% by weight of the total composition, preferably from about 0.01 to about 1% by weight, even more preferably from about 0.04 to about 0.5% by weight.

The cosmetic compositions of the invention are preferably aqueous based, water forming the basis of the continuous phase of the microemulsion. The compositions preferably comprise water in an amount of from about 20 to about 99% by weight of the total composition.

The compositions of the invention are preferably rinse-off compositions, i.e., suitable for applying to the hair, left thereon for an appropriate period of time and then rinsed off with water.

Preferred compositions in accordance with the present invention are optically clear.

- 9 -

Depending upon the type of shampoo or silicone employed, one or more additional ingredients conventionally incorporated into shampoo formulations may be included in the compositions of the invention. Such additional ingredients 5 include antibacterial agents, antidandruff agents, foam boosters, perfumes, colouring agents, preservatives, viscosity modifiers, proteins, polymers, buffering or pH adjusting agents, moisturising agents, herb or other plant extracts and other natural ingredients.

10

The invention is further illustrated by way of the following non-limiting examples.

EXAMPLE 1

15

A clear hair shampoo composition (A) in accordance with the present invention, comprising a high viscosity silicone microemulsion and a cationic deposition polymer, was prepared as described herein. A similar clear hair shampoo 20 composition (B) using a low viscosity silicone microemulsion was also prepared. The two compositions has the following formulations:

		(gwt)	
	<u>Ingredient</u>	A	B
25	SLES 3EO (40% active)	11.4(8)	11.4(8)
	Cocoamidopropyl betaine(25% active)	11(3.3)	11(3.3)
	Silicone (1)	3.0(0.75)	-
	Silicone (2)	-	3.0(0.75)
30	Polyquaternium 10	0.3	0.3
	Salt	1.6	1.6
	Sodium benzoate	0.5	0.5
	Perfume	0.5	0.5
	minors	0.33	0.33
35	Water	to 100	to 100

- 10 -

(1) DC 1870 dimethicone, viscosity 60 000 centistokes added as 25% microemulsion ex Dow Corning.

(2) DC 1865 dimethicone, viscosity 20 000 centistokes
5 added as 25% microemulsion ex Dow Corning.

Both compositions A and B were adjusted to a viscosity of approximately 2500 cps with salt.

10 Both the composition according to the invention (A) and the composition according to the prior art (B) were used to wash and condition hair which was then assessed by a number of trained panellists. Statements were put to each panellist and they gave a score of from 1 to 5 depending on how much
15 they agreed with the statement. A score of 5 meant that they totally agreed with the statement and a score of 1 meant that they totally disagreed with the statement. The scores were then averaged and the results are given below:

	Statement	A	B
	It leaves my hair manageable	3.94	3.70
	It leaves my hair freshly conditioned	4.02	3.77
	It leaves my hair feeling soft	4.19	3.99
	It leaves build up on my hair	2.13	2.28
25	It makes my hair easy to comb when wet	4.18	4.07
	It makes my hair easy to comb when dry	4.04	3.70

It can be seen that the composition according to the invention gave higher score than the formulation with the low viscosity microemulsion for the attributes of:
30 manageability, fresh conditioned, soft feel, wet comb and dry comb. It gave a lower score for the undesirable attribute of build-up.

- 11 -

A composition with only 1.6% of the high viscosity microemulsion (Composition C) gave similarly improved performance. Scoring even higher for manageability and conditioning and even lower for amount of build-up.

5

Example 2

All three compositions used in example 1 were then tested in a comparative performance trial against a conventional silicone 2in 1 conditioning shampoo sold under the name "Sunsilk 2 in 1" and containing a mechanical emulsion of large particle size silicone with high viscosity. The prior art microemulsion composition (B) gave inferior dry combing, whereas the composition according to the invention with low level of high viscosity microemulsion gave better performance in this regard and the composition A gave even better performance. Composition A also gave better control of fly away hair than any of the other compositions.

2145474

THE EMBODIMENTS OF THE INVENTION IN WHICH AN EXCLUSIVE PROPERTY OR PRIVILEGE IS CLAIMED ARE DEFINED AS FOLLOWS:

1. A shampoo composition comprising:
 - (a) from 2-35% surfactant;
 - (b) from 0.01 to 10% of a microemulsion of particles of a high viscosity silicone having a particle size of <0.15 microns, the emulsion comprising water, emulsifier and the particles and
 - (c) 0.01 - 10% of a cationic deposition polymer.
2. A composition according to claim 1, wherein the silicone is selected from non-volatile silicones, siloxane gums and resins, aminofunctional silicones, quaternary silicones, and mixtures thereof with one another and with volatile silicones.
3. A composition according to claim 1 or claim 2, wherein the particles of conditioning oil have a particle size of <0.1 microns.
4. A composition according to any one of claims 1 to 3, wherein the microemulsified conditioning oil is present in the composition in an amount of from 0.3 to 5% by weight.
5. A composition according to any preceding claim, wherein the cationic deposition polymer is a cationic cellulose ether derivative.
6. A composition according to any preceding claim, wherein the surfactant is selected from anionic, cationic, non-ionic, amphoteric and zwitterionic surfactants, and mixtures thereof.

7. A composition according to any preceding claim wherein the surfactant is an anionic surfactant.
8. A composition according to claim 7 in which the emulsifier in component (b) is the same anionic surfactant as that used for component (a).
9. A method of conditioning hair and/or skin comprising applying thereto a composition according to any preceding claim.
10. Use as an additive in a conditioning composition of a microemulsion of a high viscosity conditioning oil having a particle size of <0.15 microns in combination with a cationic deposition polymer and a surfactant.

2145474

11. The shampoo composition as claimed in claim 1 and substantially as described herein.